

**From:** [Fran Gillon](#)  
**To:** [Steve Violet](#)  
**Cc:** [schedule4approvals](#); [Shelley Edwards](#)  
**Subject:** RE: Application for approval of changes to IPReg's regulatory arrangements  
**Date:** 19 January 2023 16:13:22  
**Attachments:** [Registers - example for LSB.png](#)

---

Hi Steve

Thanks for your email. In terms of your follow ups:

1. **Waivers** – thank you for explaining the LSB's concerns. I can confirm that we are happy to set up a webpage to list waivers that are granted to firms. We will also liaise with our CRM provider to see if we can set up a link from the Registers page to the (new) waivers page in a similar way to our current approach to information about voluntary removals (please see attached screen snip – the actual webpage is [here](#)).
2. **Maximum financial penalties** - thank you for confirming that you do not need the maximum financial penalties to be set out in the Core Regulatory Framework. We agree that financial penalties are regulatory arrangements. If we did ever want to make changes, we would of course consult and submit a rule change application in the normal way.

Please let me know if you need any further information or would like to discuss.

Fran

**Fran Gillon**

Chief Executive

Intellectual Property Regulation Board, 20 Little Britain, London EC1A 7DH

**t** 020 7632 7174 **THE IPREG TEAM IS WORKING REMOTELY. PLEASE CONTACT US BY EMAIL.**

**e** [fran.gillon@ipreg.org.uk](mailto:fran.gillon@ipreg.org.uk)

*Please note that my working days are normally Wednesday, Thursday and Friday.*

*This email, and any attachment, is intended for the attention of the addressee only. Its unauthorised use, disclosure, storage or copying is not permitted. If you are not the intended recipient, please destroy all copies and inform the sender by return email and send a copy to [info@ipreg.org.uk](mailto:info@ipreg.org.uk). Thank you for your co-operation. The Intellectual Property Regulation Board Limited is registered in England and Wales under registered number 06624948. The registered office is 21-27 Lamb's Conduit Street, London WC1N 3GS.*

---

**From:** Steve Violet <[Steve.Violet@legalservicesboard.org.uk](mailto:Steve.Violet@legalservicesboard.org.uk)>

**Sent:** 19 January 2023 15:26

**To:** Fran Gillon <[fran.gillon@ipreg.org.uk](mailto:fran.gillon@ipreg.org.uk)>

**Cc:** [schedule4approvals](#) <[schedule4approvals@legalservicesboard.org.uk](mailto:schedule4approvals@legalservicesboard.org.uk)>; [Shelley Edwards](#) <[Shelley.Edwards@ipreg.org.uk](mailto:Shelley.Edwards@ipreg.org.uk)>

**Subject:** RE: Application for approval of changes to IPReg's regulatory arrangements

Hi Fran,

Thank you again for IPReg's responses – the detail provided has been very helpful.

I have two follow ups at this time:

1. **Transparency around waivers** - The concern we have is that prospective clients would not

be able to easily find out that a firm had been relieved from certain aspects of the published regulatory arrangements. The only route to find out would be to wait for the annual report or check through each of the CEO Updates in the Board Papers. Is IPReg able to commit to publication of a webpage for waivers or an alternative publication, such as adding a line to firm entries on its register in circumstances where firms have a waiver in place?

2. **Maximum financial penalties** - As you have rightly pointed out, the maximum financial penalties are not proposed to be changed. The LSB does consider them to be regulatory arrangements. I can confirm that we do not specifically need them to be set out in the core regulatory framework. However, should IPReg wish to amend them in the future we do consider such amendment would require LSB approval.

I'd be happy to talk through these two points if it would assist – please just suggest a time from tomorrow onwards and I can make myself available. Alternatively, if you are content to respond via email, I'd be grateful of a reply on or before Wednesday 25 January.

Kind regards,

Steve

**Steve Violet | Statutory Decisions Lead | Legal Services Board**

The Rookery | 2 Dyott Street | London | [WC1A 1DE](#)

T 020 7271 0073

E [Steve.Violet](mailto:Steve.Violet@lsb.org.uk)

W [www.legalservicesboard.org.uk](http://www.legalservicesboard.org.uk)

T [@LSB\\_EngandWal](https://twitter.com/LSB_EngandWal)

L [www.linkedin.com/company/legal-services-board/](https://www.linkedin.com/company/legal-services-board/)

---

---